6.pielikums

Projekta iesniegumam

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| **Application to the European Regional Development Fund project** |

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| Project title: |  | | | | | | | |
| Number and title of the specific objective//event selection round: | Specific Objective 1.1.1 "Improve research and innovation capacity and the ability of Latvian research institutions to attract external funding, by investing in human capital and infrastructure"  **1.1.1.1. measure “Industry-Driven Research”**  Project application selection round No.4 | | | | | | | |
| Project applicant: |  | | | | | | | |
| Taxpayer registration code: |  | | | | | | | |
| Classification of the project applicant: |  | | | | | | | |
| Type of the project applicant *(according to Annex 1 of the Regulation 651/2014[[1]](#footnote-1)*): |  | | | | | | | |
| State budget financed institution |  | | | | | | | |
| Project applicant classification according to General Classification of Economic Activity NACE: | NACE code | | Title of economic activity | | | | | |
|  | |  | | | | | |
| Human resources involved in the implementation of the project | Scientists/ research staff | Number of: | | |  | | FTE: |  |
| Scientific technical staff | Number of: | | |  | | FTE: |  |
| Legal address: | *Street, name of house, No./apartment No.* | | | | | | | |
| *City* | | *District* | | | *Town or region* | | |
| *Postcode* | | | | | | | |
| *E-mail* | | | | | | | |
| *Website* | | | | | | | |
| Contact information: |  | | | | | | | |
| *Position* | | | | | | | |
| *Phone* | | | | | | | |
| *E-mail* | | | | | | | |
| Correspondence address  *(to be filled, if different than legal address)* | *Street, name of house, No./apartment No.* | | | | | | | |
| *City* | | | *District* | | | *Town or region* | |
| *Postcode* | | | | | | | |
| Project identification No.\*: |  | | | | | | | |
| Project submission date\*: |  | | | | | | | |

*\*Filled in by CFCA*

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| **SECTION 1 - PROJECT DESCRIPTION** |

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| * 1. **Project summary: objective, main activities, duration, total costs and planned results of the project**   (information will be published after the project is confirmed): |
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| * 1. **Objective of the project and its rationale:** |
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| **Description of problems and solutions, including description of group problems and solutions** |
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| * 1. **Description of the project target group** |
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| **1.5.Project activities and results to be achieved:** | | | | | |  |
| **No.** | **Project activity\*** | **Description of project activity**  **(<8000 characters for each activity>)** | **Result** | **Numerical result** | | **Partners Involved\*\*** |
| **Amount** | **Unit** |  |
| **1.** |  |  |  |  |  |  |
| *2.* |  |  |  |  |  |  |
| *2.1* |  |  |  |  |  |  |
| *2.2* |  |  |  |  |  |  |
| *2.3* |  |  |  |  |  |  |
| *2.4* |  |  |  |  |  |  |
| *2.5.* |  |  |  |  |  |  |

\*The project activities must coincide with the time schedule for the project implementation (Annex 1). All activities to be supported within the project must be indicated - both the ones performed before project

confirmation, and the ones planned to be fulfilled after the confirmation of the project application.

*\*\* Identification number of the involved partner/s must correspond to the partner number from Table 1.9.*

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| **1.6.** **The monitoring indicators to be achieved in the project in accordance with the regulatory enactments regarding the implementation of the specific objective or measure of the European Union Fund specified in the following:** |

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| --- | --- | --- | --- | --- | --- | --- |
| **No.** | **Title of indicator** | **Planned value** | | | **Unit** | **Notes** |
| **Year** | **Intermediate value** | **Final value** |
| 1. | Number of new researchers in the supported entities (full-time equivalent) |  |  |  | Full-time equivalent |  |
| 2. | Number of scientific articles, for the development and publication of which aid is provided within the scope of projects |  |  |  | Number of scientific articles |  |
| 3. | Original scientific articles published in magazines or conference proceedings, the citation index of which reaches at least 50 percent of the average citation index in the sector |  |  |  | Scientific articles |  |
| 4. | Original scientific articles published in magazines or conference proceedings included in the Web of Science or SCOPUS (A or B) database |  |  |  | Scientific articles |  |
| 5. | Number of new products and technologies, which can be commercialized and for the development of which aid is provided within the scope of projects |  |  |  | Products and technologies |  |
| 6. | A new product or new technology prototype including method prototype (specific outcome indicator) |  |  |  | Number of prototypes |  |
| 7. | New non-commercial treatment and diagnostic methods (specific outcome indicator) |  |  |  | Number of methods |  |
| 8. | Intellectual property license agreements and (specific outcome indicator) |  |  |  | Number of agreements |  |
| 9. | Private investments,  which complement  state aid for innovation or research and development  projects |  |  |  | EUR |  |
| 10. | Number of enterprises cooperating with research institutions |  |  |  | Enterprises |  |
| 11. | Other project results (including data) according to the specifics of the research, which supplement the results mentioned in result indicators No. 3, 4, 6, 7, 8, 12, 13 |  |  |  | Number |  |
| 12. | Technology rights - patents |  |  |  | Number of patents |  |
| 13. | Technology rights – other non-material actives |  |  |  | Number of non-material actives |  |
| 14. | Number of young scientists (full-time equivalent), who have developed their competences during the project, including career development and staff renewal processes |  |  |  | Full-time equivalent |  |

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| * 1. **Place of implementation of the project** | |
| **1.7.1. Address of implementation of the project** |  |
| Whole Latvia |  |
| Statistical region |  |
| City or municipality |  |
| Town or parish |  |
| Street |  |
| No. |  |
| Postal code |  |
| Cadastral number |  |
| Description of implementation place |  |

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| **1.8. Financial impact of the Project on several territories** | | |
| **No.** | **Indicate the name of territory** | **Indicate financial impact (%) of total funding** |
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| **1.9. Information about partner/partners** | | | |
| 1.9.1. Name of partner\*: |  | | |
| Number of registration/Tax-payer number: |  | | |
| Type of the Partner: |  | | |
| Legal address: | *Street, name of house, No./apartment No.* | | |
| *City* | *City* | *City* |
| *Postcode* | | |
| *E-mail* | | |
| *Website* | | |
| Contact information: | *Name and surname of contact person* | | |
| *Position* | | |
| *Phone* | | |
| *E-mail* | | |
| Correspondence address  *(to be filled, if different than legal address)* | *Street, name of house, No./apartment No.* | | |
| *City* | *City* | *City* |
| *Postcode* | | |
| **Justification of the reason for the choice of the Partner**  (*including Partner's investment in the project and the benefits of participating in the project*) |  | | |

*\* if several partners are expected to be involved in the implementation of the project, information is provided for each partner.*

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| **SECTION 2 - PROJECT IMPLEMENTATION** |

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| **2.1 Project implementation capacity** | |
| Administering capacity **(<5000 characters>)** |  |
| Financial capacity **(<5000 characters>)** |  |
| Implementation capacity **(<8000 characters>)** |  |

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| **2.2 Description of project implementation, management and monitoring** |
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| **2.3 Project implementation time\* (full months):** |  |

*\* The project implementation time must coincide with the period specified in the project implementation schedule (Annex 1) after the conclusion of the Contract*

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| **2.4 Assessment of project risks:** | | | | | |
| **No.** | **Risk** | **Risk description** | **Risk influence**  (high, medium, low) | **Probability of seizing**  (high, medium, low) | **Measures of risk prevention/mitigation** |
| 1. | **Financial** |  |  |  |  |
| 2. | **Implementation** |  |  |  |  |
| 3. | **Risk of attainment and administration of results and monitoring indicators** |  |  |  |  |
| 4. | **Administrative** |  |  |  |  |
| 5. | **Human resources** |  |  |  |  |
| 6. | **Legal** |  |  |  |  |
| 7. | **Other** |  |  |  |  |

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| **2.5. The project's substantive connection with other submitted/implemented/currently being implemented projects:** | | | | | | | | |
| No. | Project title | Project number | Project summary, main activities | Description of complementarity/demarcation | Project total costs  *(euro)* | Source and type of funding (state/municipal budget, EU funds, other) | Project implementation time (mm/yyyy) | |
| Project launch | Project completion |
| 1. |  |  |  |  |  |  |  |  |
| 2. |  |  |  |  |  |  |  |  |

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| **SECTION 3 - COMPLIANCE WITH THE HORIZONTAL PRINCIPLES** |

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| **3.1. Description of the compliance with the horizontal principle "Equal Opportunities" (<3000 characters>)** |
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| **3.3. Description of the compliance with the horizontal principle "Sustainable development" (< 3000 characters >)** |
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| **3.4. The indicators to be reached when implementing the horizontal principle "Sustainable Development" planned for the project:** | | | | | | |
| **No.** | **Title of the indicator** | **Initial value** | | **Achievable value** | **Unit** | **Notes** |
| 1. | Green procurement | |  |  |  |  |

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| **SECTION 5 - PUBLICITY** |

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| **Informative and publicity activities of the project** | | | |
| **Type of the activity** | **Description of the activity** | **Implementation period** | **Amount** |
| **Large format billboard**  **(Informative poster or Temporary format billboard)** |  |  |  |
| **Information plate**  **(Permanent plate or Permanent format billboard)** |  |  |  |
| **On-line information** |  |  |  |
| Other (please, indicate) |  |  |  |

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| **SECTION 6 - MAINTENANCE OF PROJECT RESULTS AND PROVISION OF SUSTAINABILITY** |

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| **6.1. Describe how the results achieved during the project will be maintained after completing the project (<5000 characters>):** |
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| **SECTION 7 – STATE AID** |

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| 7.1. | Project implementation type: |  |
| 7.2. | Support instrument |  |
| 7.3. | Support objective or a national support framework, according to which the project is implemented  (mark one or more relevant values) |  |
| 7.3.1. |  |  |
| 7.3.2. |  |  |
| …. |  |  |
| 7.4. | The company does not correspond to the definition of a firm in difficulty (as defined in the Cabinet Regulations of the specific support objective or its measure) |  |
| 7.5. | The project has not been started (according to the deadline specified in the Cabinet Regulations of the specific support objective or its measure) |  |

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| **SECTION 8 - ACKNOWLEDGEMENT** |

I, the undersigned, \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_,

*name, surname*

Responsible official \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ of the

*Title of the project applicant*

Project applicant \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_.

*Job title*

hereby confirm that at the moment of submitting the project application

1. the project applicant does not comply with any provisions for the exclusion of project applicants referred to in Section 23, Paragraph 1 of the Law on the Management of the European Union Structural Funds and the Cohesion Fund for the Planning Period 2014-2020;
2. the project applicant has sufficient and stable financial resources (not applicable to the state budget institutions);
3. the information provided in the project application and its annexes is true and the co-financing of the European Regional Development Fund requested by the project will be used in accordance with the project application;
4. there are no reasons why this project could not be implemented or its implementation could be delayed, and I confirm that the obligations stipulated in the project can be carried out in the regulatory enactments regarding the implementation of the specific objective of the European Regional Development Fund or the implementation of the measure within the time limits specified;

I am aware that the project may not be approved for co-financing from the European Regional Development Fund if the project application, including this section, is not fully and qualitatively completed, as well as if the funding of the European Regional Development Fund (for the current year/planning period) for the regulatory enactments regarding the implementation of the specific objective of the European Regional Development Fund or its measure have been used up at the moment of project approval.

I am aware that in the case of false information in the confirmation, administrative sanctions may be imposed against me and against the said legal entity - the project applicant.

I am aware that in case of increase in project costs, the project applicant will cover all costs that may arise as a result of cost fluctuations.

I certify that I am familiar with the specifics of the relevant European Regional Development Fund, the purpose of the specific objective or its measure and the requirements specified in the selection regulations.

I agree on the processing of data specified in the project application in the Cohesion Policy Funds Management Information System for the period of 2014-2020 and on the transfer of information to other national information systems.

I certify that the copies attached to the project application correspond to the original documents in my possession and copies and the electronic version of the project application corresponds to the original project application submitted.

I am aware that the project has to be implemented in accordance with the activities provided for in the project application and the results should be maintained in accordance with the project application.

*Signature\*:*

*Date:*

*dd/mm/yyyy*

*\* In case the project application form is submitted to Cohesion Policy Funds Management Information System 2014-2020 or signed with an e-signature, the signature section is not to be filled in.*

1. *COMMISSION REGULATION (EU) No 651/2014 of 17 June declaring certain categories of aid compatible with the internal market, by applying Articles 107 and 108 of the Agreement* [↑](#footnote-ref-1)